

# Controversy and Update on Dementia and Dementia-Causing Disease

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### Outline

- Review basic "ground rules" of what dementia is and what it is not
- Update recent findings in dementia and dementia-causing diseases
- Explore ethical and other challenges



## Disclosures

- Last 5 years
  - Board member, Alzheimer Association
  - Research support (NIH, IDEAS trial)
  - Consultant fees (R3 Continuum)
  - Independent medical witness in legal cases
  - Speaker bureau (Biogen, pending)
- More than 5 years ago
  - Research support (NIMH, AAN Foundation, Novartis)
  - Consultant fees (Teva, Hines & Associates, BMI)
  - Speaker bureau (Eisai-Pfizer, Novartis)
  - Independent medical expert witness in legal cases





# Some basic ground rules

### **Cognitive concerns**

- Episodic memory
- Attention
- Semantics
- Language
- Higher motor function
- Higher sensory/perceptual function
- Executive function
- Social cognition.

- Random forgetting
- Age-appropriate forgetting
- Physiologic forgetting
- Task effect
- Impairment
  - Brain as victim ("performance effect")
  - Brain as perpetrator.



## Selected neurobehavioral deficits

- Amnesia
- Aphasia
- Alexia
- Agraphia
- Anosognosia
- Alexythymia
- Confabulation
- Delusional misidentification

- Acalculia
- Apraxia
- Agnosia
- Pure word deafness
- Simultanagnosia
- Prosopagnosia
- Hemineglect
- Hemi-asomatognosia
- Aprosodia



#### Dementia – some basic ground rules

## Dementia

#### DSM-5 "Major Neurocognitive Disorder"

#### A clinical state

- 1. Abnormal
- 2. Acquired
- 3. Multiple areas of
- 4. true cognitive impairment
- 5. Due to brain damage
- 6. Lasts a while
- 7. Functional impairment

"Dementia is not a specific disease. It's an overall term that describes a wide array of symptoms."





## Mild cognitive impairment (MCI)

Car

DSM-5 "Minor Neurocognitive Disorder"

#### A clinical state

- 1. Abnormal
- 2. Acquired
- 3. Multiple areas of
- 4. true cognitive impairment
- 5. Due to brain damage
- 6. Lasts a while
- 7. Functional impairment

### Dementia (13.7%)

Tifratene et al., 2015, Neurology, 85: 331-8.



## Subjective cognitive decline

#### NIA-AA classification system\*

- <u>Self</u> observed <u>marked</u> ↓ mental ability (e.g. memory)
- Not explained
- OK on formal testing





#### **NIA-AA classification system**





\*Jack et al., 2018, Alzheimer's Dementia, 14:535

School of Medicine

University of Missouri Health

### Lots of possible causes



#### Lots of possible causes

Alzheimer Disease and Associated Disorders Vol. 16, No. 4, pp. 203–212 © 2002 Lippincott Williams & Wilkins, Inc., Philadelphia

Relative Frequencies of Alzheimer Disease, Lewy Body, Vascular and Frontotemporal Dementia, and Hippocampal Sclerosis in the State of Florida Brain Bank \*Warren W. Barker, \*Cheryl A. Luis, \*Alice Kashuba, \*Mercy Luis, \*Dylan G. Harwood, \*§David Loewenstein, †Carol Waters, ‡Pat Jimison, †Eugene Shepherd, §Steven Sevush, ¶Neil Graff-Radford, \*\*Douglas Newland, ††Murray Todd, ‡‡Bayard Miller, §§Michael Gold, ¶Menneth Heilman, ¶¶Leilani Doty, ‡Ira Goodman, \*\*\*Bruce Robinson, ‡Gary Pearl, ¶Denn

> Only 42% "purely" due to Alzheimer

> Only 58% "purely" due to <u>any</u> etiology

Postmortem diagnosis	N	% of cases
AD (all cases)	293	77
Pure AD	159	42
AD + LBD	54	14
AD + VaD	43	11
AD + HS	22	6
AD + LBD + VaD	5	1
AD + LBD + HS	5	1
AD + HS + VaD	5	1
LBD (all cases)	99	26
Pure LBD	30	8
LBD + AD	54	14
LBD + AD + VaD	5	1
LBD + AD + HS	5	1
LBD + HS	2	1
VaD (all cases)	70	18
Pure VaD	12	3
VaD + AD	43	11
VaD + AD + LBD	5	1
VaD + AD + HS	5	1
VaD + HS	3	1
FTD (all cases)	21	5
Pure FTD	14	4
FTD + HS	6	2
HS (all cases)	50	13
Pure HS	5	1
HS + AD	22	6
FTD + HS	6	1
HS + AD + LBD	5	1
HS + AD + VaD	5	1
HS + VaD	3	1
HS + LBD	2	1

TABLE 2. Frequency of postmortem diagnoses

Numbers do not add up to total for "all cases" because combinations of 4 or more pathologies are not shown.

AD, Alzheimer disease; LBD, Lewy body disease; VaD, vascular dementia; FTD, frontotemporal dementia; HS, hippocampal sclerosis.



#### **Dementia rates are falling**

#### ORIGINAL ARTICLE

#### Incidence of Dementia over Three Decades in the Framingham Heart Study

Claudia L. Satizabal, Ph.D., Alexa S. Beiser, Ph.D., Vincent Chouraki, M.D., Ph.D., Geneviève Chêne, M.D., Ph.D., Carole Dufouil, Ph.D., and Sudha Seshadri, M.D.

#### ABSTRACT

#### RESULTS

The 5-year age- and sex-adjusted cumulative hazard rates for dementia were 3.6 per 100 persons during the first epoch (late 1970s and early 1980s), 2.8 per 100 persons during the second epoch (late 1980s and early 1990s), 2.2 per 100 persons during the third epoch (late 1990s and early 2000s), and 2.0 per 100 persons during the fourth epoch (late 2000s and early 2010s). Relative to the incidence during the first epoch, the incidence declined by 22%, 38%, and 44% during the second, third, and fourth epochs, respectively. This risk reduction was observed only among persons who had at least a high school diploma (hazard ratio, 0.77; 95% confidence interval, 0.67 to 0.88). The prevalence of most vascular risk factors (except obesity and diabetes) and the risk of dementia associated with stroke, atrial fibrillation, or heart failure have decreased over time, but none of these trends completely explain the decrease in the incidence of dementia.

#### CONCLUSIONS

Among participants in the Framingham Heart Study, the incidence of dementia has declined over the course of three decades. The factors contributing to this decline have not been completely identified. (Funded by the National Institutes of Health.) NEJM, 374: 523, 2016

Years	1970s	2000s
Incidence per 100 persons	3.6	2.0
Mean age at diagnosis (years)	80	85





# **Alzheimer disease basics**

### Autopsy diagnosis is based on three things

ALZHEIMER DISEASE AND ASSOCIATED DISORDERS, 1(1):7-8, 1987

ABOUT A PECULIAR DISLASE OF THE CEREBRAL CORTEX

(Über eine eigenartige Erkrankung der Hirnrinde. Allgemeine Zeitschrift für Psychiatrie und Psychisch-Gerichtliche Medizin 64:146-148, 1907)

> BY ALOIS ALZHEIMER TRANSLATED BY L. JARVIK AND H. GREENSON

A, reports on his observation of a patient at the insane asylum in Frankfurt/ Main whose central nervous system he examined at the request of Director Sioli. The picture he presents is of a case so deviant even on clinical grounds alone that it does not fit into any of the known cisease categories, and the anatomical indigs diverge from all currently known disease processes.

#### CASE PRESENTATION<sup>2</sup>

The first noticeable symptom of illness shown by this 51-year-old woman was suspiciousness of her husband. Soon, a rapidly increasing memory impairment became evident; she could no longer orient herself in her own dwelling, dragged objects here and there and hid them, and at times, believing that people were out to murder her, started to scream loudly.

On observation at the institution, her entire demeanor bears the stamp of utter bewilderment. She is completely disoriented to time and place. Occasionally, she remarks that she does not understand anything and is at her wits' end. Sometimes she greets the doctor as if he were a visitor and excuses herself that she has not finished with her work; on other occasions, she screams that he wants to cut her open<sup>3</sup>; on others yet, she dismisses him, full of indignation and with expressions indicating that she fears him as a threat to her honor as a woman. At times she is totally delirious, drags her bedding around, calls for her husband or daughter, and seems to have auditory hallucinations. Often she screams for many hours in a horrible voice.

With her inability to understand her situation, she bursts into loud screams each time she is approached to be examined. Only through constantly repeated efforts was it possible to eventually establish some limited information.

#### CLINICAL EVALUATION

Her ability to encode information<sup>4</sup> is most severely disturbed. If one shows her objects, she usually names them correctly. <u>Immediately thereafter</u>, however, she has forgotten everything. In reading, she confuses lines, reads by spelling, or with senseless intonation. When writing, she repeats single syllables many times, omits others and gets stuck altogether very quickly. When speaking, she frequently uses phrases indicating perplexity or embarrassment, or single paraphasic expressions (milk pourer instead of cup); sometimes one observes that she is completely at a loss for words. She clearly does not grasp some questions, and it seems that she no longer knows the use of certain objects.

Her gait is normal, and she can use her hands well. Patellar reflexes are present. Pupils react. Radial arteries are somewhat rigid; on percussion, there is no enlargement of cardiac dullness. Laboratory findings: No albumen.

#### COURSE OF ILLNESS

In the further course of illness, there appear what could be interpreted as focal symptoms, but they are very slight and variable—sometimes stronger, sometimes weaker. By contrast, general imbecility keeps progressing. The 4½ year illness ended in death. Terminally, the patient was totally dulled, lying in bed with legs drawn up, incontinent, and, despite all care, developed decubiti.

#### AUTOPSY

The autopsy reveals a consistently atrophic brain without macroscopic foci. The larger cerebral vessels show artenoscierous changes. Preparations stained with Bielschowsky's silver method reveal peculiar changes of the neurofibrils. Inside an otherwise apparently still normal cen, mist one or more norms stand of prominently because of their unusual thickness and unusual ability to take up stain. Later on, there are many such fibrils lying next to each other, all changed in the same way. These are eventually seen clustering together in thick bundles which gradually emerge at the surface of the cell. Finally, the nucleus and the cell have fallen apart and only a tangled bundle of fibrils points to the place in which there once was a ganglion cell. Since these fibrils can be stained with methods other than those used to stain normal neurofibrils, a chemical change of the fibril substance must have taken place and might be the cause for the fibrils surviving the disintegration of the cell. The conversion of the fibril seems to go hand in hand with the storage of a pathologic metabolic product in the ganglion cell, a possibility which needs to be more deeply researched. About one-quarter to onethird of all ganglion cells in the cortex show such changes, and numerous ganglion cells, especially in the upper cell layers, have altogether disappeared.

Scattered over the entire cortex, and especially numerous in the upper layers, there are miliary foci distinguishable by the denosit in the cerebral cortex of a peculiar substance which can be recognized without stain and is, in fact, very refractory to staining.

The glia have formed abundant fibers, and many glial cells show large fatty sacs.

There is total absence of infiltration of the vessels; by contrast, one sees endothelial proliferation and also, occasionally, neovascularization.

All in all, we have before us the evidence for a specific disease process. In recent years the ascertainable number of such specific disease processes has been increasing. As this case report demonstrates, it behooves us not to be satisfied with attempts to force, by means of painstaking efforts, clinically unclear observations to fit one of the disease categories familiar to us. There are without doubt many more psychiatric illnesses than our textbooks mention. In some instances, the uniqueness of the case will be <u>established</u> by subsequent <u>histologic examination</u>. Then, we will gradually arrive at the stage where we will be able to separate out individual diseases from the larger disease categories of our textbooks and sharpen their clinical definition.



## 1. Dead / missing cells (neurodegeneration)



Adapted from de Leon (2005)



### 2. Amyloid plaque

#### PERSPECTIVE ···

#### Alzheimer's Disease: The Amyloid Cascade Hypothesis

#### John A. Hardy and Gerald A. Higgins

Alzheimer's disease causes dementia in many elderly people and in some individuals with Down syndrome who survive to age 50. Alzheimer's is characterized by various pathological markers in the brain—large numbers of amyloid plaques surrounded by neurons containing neurofibrillary tangles (1), vascular damage from extensive plaque deposition (2), and neuronal cell loss (1). Because it is not known if the amyloid plaques or the neurofibrillary tangles are the carliest lesion in the disease process, the role of these markers in the etiology of the disease is controversial. cerebrospinal fluid (9). The APP secretase that cuts within the A $\beta$ P region has an extraordinarily broad sequence specificity and recognizes the secondary structure of APP, cleaving at a defined distance from the membrane (10). Several recent studies suggest that APP can also be processed by the endosomal-lysosomal pathway, after recycling of membrane-bound APP and possibly via an intracellular metabolic route (11–13). Carboxyl-terminal fragments containing the entire A $\beta$ P sequence can be derived from this alternate normal processing of APP (12, 14) and may eventually lead to amyloid Alzheimer's disease. These mutations all occur at codon 717 of the protein (15, 16) and change the native valine, located three residues from the COOH-terminal end of A $\beta$ P, to isoleucine, phenylalanine, or glycine (Fig. 1). It is unclear how these mutations cause amyloid deposition, but they may inhibit the breakdown of a COOH-terminal fragment of APP that contains A $\beta$ P (15), alter the anchoring of APP in the cell membrane, or stabilize A $\beta$ P-containing amyloidogenic fragments within lysosomes (12, 15).

Our cascade hypothesis states that  $A\beta P$ itself, or APP cleavage products containing A $\beta P$ , are neurotoxic and lead to neurofibrillary tangle formation and cell death. Thus, two successive events are needed to produce Alzheimer's pathology. First, A $\beta P$ must be generated as an intact entity, either by accumulation of A $\beta P$  or as an A $\beta P$ containing fragment of APP. Second, this molecule must facilitate or cause neuronal death and neurofibrillary tangle formation.

Hospital Medical School, London W2 1PG, U.K., and Department of Psychiatry, University of South Florida, Tampa, FL 33612.

G. A. Higgins, Molecular Neurobiology, Laboratory of Biological Chemistry, National Institute on Aging, National Institutes of Health, Baltimore, MD 21224. of the apparent lack of sequence specificity of the enzyme (10).

Three mutations have been described within the APP gene that cause familial

SCIENCE • VOL. 256 • 10 APRIL 1992

neurofibrillary tangles form. The tangles are largely composed of paired helical filaments formed from a hyperphosphorylated form of the microtubule associated protein, tau (6),



### 2. Amyloid plaque



### **3. Neurofibrillary tangles**





### **3. Neurofibrillary tangles**

Symptoms come from areas of most neurofibrillary tangles





## "ABCs" of Alzheimer neuropathology

- Thal amyloid phase (A0 A3)
  - Spatial distribution of all AB plaques
- Braak stage (B0 B3)
  - Spread of neurofibrillary tangles
  - B1 = Braak ½, B2 = Braak II/IV, B3 = Braak V/VI
- CERAD score (C1 C3)
  - Highest density of neuritic plaques
  - C1 = sparse, C2 = moderate, C3 = frequent

AD	Veuropa	thologic	Change (	ADNC)
		-	В	
A	С	0 or 1	2	3
0	0	Not	Not	Not
1 00	0 or 1	Low	Low	LOW
	2 or 3		Intermediate	Intermediate
2	Any	Low	Intermediate	Intermediate
3	0 or 1	Low	Intermediate	Intermediate
	2013		Intermediate	High

high <u>amyloid</u> burden is necessary but not sufficient for high neuropathology certainty for Alzheimer disease high <u>neurofibrillary tangle</u> burden is necessary but not sufficient for high neuropathology certainty for Alzheimer disease









The diagnosis of dementia due to Alzheimer's disease: Recommendations from the National Institute on Aging-Alzheimer's Association workgroups on diagnostic guidelines for Alzheimer's disease Guy M. McKhann<sup>a,b,\*</sup>, David S. Knopman<sup>c</sup>, Howard Chertkow<sup>d,e</sup>, Bradley T. Hyman<sup>f</sup>, Clifford R. Jack, Jr.<sup>g</sup>, Claudia H. Kawas<sup>h,i,j</sup>, William E. Klunk<sup>k</sup>, Walter J. Koroshetz<sup>1</sup>, Jennifer J. Manly<sup>m,n,o</sup>, Richard Mayeux<sup>m,n,o</sup>, Richard C. Mohs<sup>p</sup>, John C. Morris<sup>q</sup>, Martin N. Rossor<sup>r</sup>, Philip Scheltens<sup>s</sup>, Maria C. Carrillo<sup>t</sup>, Bill Thies<sup>t</sup>, Sandra Weintraub<sup>u,v</sup>, Creighton H. Phelps<sup>w</sup>



Dementia 2° cognitive/behavioral sx that

- **1. Affects usual activities**
- **2.**  $\downarrow$  from baseline
- 3. Not 2° delirium / psychiatric dx
- 4. Cognition  $\downarrow$  per history (patient /informant)
- 5. Cognition  $\downarrow$  per objective testing
- 6. Cognition  $\downarrow$  involves  $\geq$  2 domains

Dementia <u>has</u> inclusive features

**1.Insidious onset** 

2.Clear ↓ per report / observation

- **3.**Initial and most prominent  $sx \ge 1$  of
  - a.  $\checkmark$  anterograde memory for experiences
  - b.↓↓ language
  - c.  $\checkmark \checkmark$  visuospatial function
  - d.  $\downarrow \downarrow$  executive function

Dementia *lacks* exclusionary features

- **1.Substantial concomitant cerebrovascular dz** 
  - a. Extensive severe CV damage, or
  - b. Clinical stroke timed to onset/worsening
- 2.Prominent si non-Alzheimer dementia syndrome 3.Another active disease / drug could  $\checkmark \downarrow \checkmark$  cognition



Projected Number of People Age 65 and Older (Total and by Age) in the U.S. Population with Alzheimer's Dementia, 2020 to 2060



2021 ALZHEIMER'S DISEASE FACTS AND FIGURES

#### 6.1 million dementia

12.4 million MCI

~2/3 are women

#### **^African/Hispanic descent**

#### **↓**Asian descent.

In 2021, Alzheimer's and other dementias will cost the nation \$355 BILLION

By 2050, these costs could rise to \$1.1 TRILLION

#### OVER 11 MILLION

Americans provide unpaid care for people with Alzheimer disease or other dementias.

These caregivers provided an estimated 15.3 billion hours a year valued at nearly \$257 BILLION 2021 ALZHEIMER'S DISEASE FACTS AND FIGURES



Alzheimer's Associations 2021 Alzheimer disease facts and figures. https://www.alz.org/media/Documents/alzheimers-facts-and-figures.pdf



#### Deaths 2000-2019

- Breast cancer  $\uparrow$  1.1%
- Prostate cancer  $\uparrow$  1.8%
- Heart disease  $\sqrt{7.3\%}$
- Stroke ↓10.5%
- HIV ↓65.2%
- Alzheimer disease ↑145.2%.

2021 ALZHEIMER'S DISEASE FACTS AND FIGURES



2021 ALZHEIMER'S DISEASE FACTS AND FIGURES







# The new world

### **Evolving understanding of pathologies**

Amyloid plaques are not unique to Alzheimer disease

#### **Amyloid brain PET**



#### Note:

- most helpful if negative
- not helpful in oldest patients
- not helpful to distinguish Alzheimer vs. DLB.

Ossenkopelle et al (2015) Prevalence of Amyloid PET Positivity in Dementia Syndromes: A Meta-analysis *JAMA;313*(19):1939-1950. doi:10.1001/jama.2015.4669



### **Evolving understanding of pathologies**

Neurofibrillary tangles are not unique to Alzheimer disease

Formerly "dementia pugilistica"

Brain Pathol. 2015 May ; 25(3): 350–364. doi:10.1111/bpa.12248. The Neuropathology of Chronic Traumatic Encephalopathy

Ann C. McKee<sup>1,2,3,4,5</sup>, Thor D. Stein<sup>1,2,3,4</sup>, Patrick T. Kiernan<sup>4,5</sup>, and Victor E. Alvarez<sup>4,5</sup>

#### Abstract

Repetitive brain trauma is associated with a progressive neurological deterioration, now termed as chronic traumatic encephalopathy (CTE). Most instances of CTE occur in association with the play of sports, but CTE has also been reported in association with blast injuries and other neurotrauma. Symptoms of CTE include behavioral and mood changes, memory loss, cognitive impairment and dementia. Like many other neurodegenerative diseases, CTE is diagnosed with certainty only by neuropathological examination of brain tissue. CTE is a tauopathy characterized by the deposition of hyperphosphorylated tau (p-tau) protein as neurofibrillary tangles, astrocytic tangles and neurites in striking clusters around small blood vessels of the cortex, typically at the sulcal depths. Severely affected cases show p-tau pathology throughout the brain. Abnormalities in phosphorylated 43 kDa TAR DNA-binding protein are found in most cases of CTE; beta-amyloid is identified in 43%, associated with age. Given the importance of sports participation and physical exercise to physical and psychological health as well as disease resilience, it is critical to identify the genetic risk factors for CTE as well as to understand how other variables, such as stress, age at exposure, gender, substance abuse and other exposures, contribute to the development of CTE.



### **Evolving understanding of pathologies**

Neurofibrillary tangles are not unique to Alzheimer disease Acta Neuropathol (2014) 128:755-766 DOI 10.1007/s00401-014-1349-0

CONSENSUS PAPER

#### Primary age-related tauopathy (PART): a common pathology associated with human aging

John F. Crary · John Q. Trojanowski · Julie A. Schneider · Jose F. Abisambra · Erin L. Abner · Irina Alafuzoff · Steven E. Arnold · Johannes Attems · Thomas G. Beach · Eileen H. Bigio · Nigel J. Cairns · Dennis W. Dickson · Marla Gearing · Lea T. Grinberg · Patrick R. Hof · Bradley T. Hyman · Kurt Jellinger · Gregory A. Jicha · Gabor G. Kovacs · David S. Knopman · Julia Kofler · Walter A. Kukull · Ian R. Mackenzie · Eliezer Masliah · Ann McKee · Thomas J. Montine · Melissa E. Murray · Janna H. Neltner · Ismael Santa-Maria · William W. Seeley · Alberto Serrano-Pozo · Michael L. Shelanski · Thor Stein · Masaki Takao · Dietmar R. Thal · Jonathan B. Toledo · Juan C. Troncoso · Jean Paul Vonsattel · Charles L. White 3rd · Thomas Wisniewski · Randall L. Woltjer · Masahito Yamada · Peter T. Nelson

- Amnestic disorder, especially in very aged, profound dementia rare
- Little or no amyloid plaque
- "tangle-only dementia," "NFT predominant dementia."



#### FDA approved treatments for neurocognitive disorders

generic	brand name	approved for	approval date
donepezil	Aricept	Alzheimer, all stages dementia	1996
galantamine	Razadyne	Alzheimer, mild-moderate dementia	2001
memantine	Namenda	Alzheimer, moderate-severe dementia	2003
rivastigmine	Exelon	Alzheimer, all stages dementia Parkinson disease dementia	2000 2006
caprylidene	Axona	Alzheimer, mild-moderate dementia	2009
donepezil + memantine	Namzeric	Alzheimer, moderate-severe dementia	2014







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caprylidene	Axona	Alzheimer, mild-moderate dementia	2009
donepezil + memantine	Namzeric	Alzheimer, moderate-severe dementia	2014
aducanumab	Aduhelm	Alzheimer, MCI or mild dementia	2021









#### **Amyloid related imaging abnormalities (ARIA)**



Aduhelm<sup>®</sup> (aducanumab)

#### Both pivotal trials reduced amyloid plaque



igure 3: Reduction in Brain Amyloid Beta Plaque (Change from Baseline in Amyloid Beta PET Composite, SUVR and Centiloids) in Study 2



41 4 4 4 4 4 4

Aduhelm<sup>®</sup> (aducanumab)

#### Only one pivotal trial showed clinical benefit



Figure 2: Line Plot of Primary Efficacy Endpoint (Change From Baseline in CDR Sum of Boxes) in Study 1



Aduhelm<sup>®</sup> (aducanumab)

November 6, 2020

BIOPHARMA

#### FDA advisory panel vote against approving Biogen's drug for Alzheimer's

The nonbinding panel recommendation to reject the drug goes against what FDA scientists presented but they throw up another obstacle for the drug, which is trying to succeed on a front where others have failed.

By JOEL BERG

Post a comment / Nov 5. 2020 at 5:57 PM



Aduhelm<sup>®</sup> (aducanumab)

June 7, 2021

#### FDA's Decision to Approve New Treatment for Alzheimer's Disease

By Dr. Patrizia Cavazzoni, Director, FDA Center for Drug Evaluation and Research

Today FDA approved <u>Aduhelm (aducanumab)</u> to treat patients with <u>Alzheimer's</u> disease using the <u>Accelerated Approval</u> pathway, under which the FDA approves a drug for a serious or life-threatening illness that may provide meaningful therapeutic benefit over existing treatments when the drug is shown to have an effect on a surrogate endpoint that is reasonably likely to predict a clinical benefit to patients and there remains some uncertainty about the drug's clinical benefit.

This approval is significant in many ways. Aduhelm is the first novel therapy approved for Alzheimer's disease since 2003. Perhaps more significantly, Aduhelm is the first treatment directed at the underlying pathophysiology of Alzheimer's disease, the presence of amyloid beta plaques in the brain. The clinical trials for Aduhelm were the first to show that a reduction in these plaques—a hallmark finding in the brain of patients with Alzheimer's—is expected to lead to a reduction in the clinical decline of this devastating form of dementia.



https://www.fda.gov/drugs/news-events-human-drugs/fdas-decision-approve-new-treatment-alzheimers-disease

Aduhelm<sup>®</sup> (aducanumab)



Today, on behalf of my Biogen colleagues, I am incredibly humbled to share that the U.S. Food and Drug Administration (FDA) has granted accelerated approval for ADUHELM™ (aducanumab-avwa), the first-ever therapy to address a defining pathology of Alzheimer's disease—amyloid beta plaque.



Aduhelm<sup>®</sup> (aducanumab)

#### Alzheimer's Association Welcomes FDA Approval of Aducanumab

**CHICAGO**, June 7, 2021 — On behalf of those impacted by Alzheimer's disease, the <u>Alzheimer's Association</u> enthusiastically welcomes today's historic <u>FDA</u> approval of aducanumab (Biogen/Eisai) for treatment of Alzheimer's disease.

"This approval is a victory for people living with Alzheimer's and their families" said <u>Harry Johns</u>, Alzheimer's Association president and chief executive officer. "This is the first FDA-approved drug that delays decline due to Alzheimer's disease. This means individuals may have more time to actively participate in daily life, have sustained independence and hold on to memories longer. We can experience longer — the relationships we hold most dear — our families and friends."



Aduhelm<sup>®</sup> (aducanumab)





Reprints

Aduhelm<sup>®</sup> (aducanumab)



By Karl Herrup and Jonathan Goulazian June 8, 2021



https://www.statnews.com/2021/06/08/aducanumab-lackluster-drug-high-price/

The New York Times How an Unproven Alzheimer's Drug Got Approved Though some of its own senior officials said there was little evidence of benefit for patients, the F.D.A. nonetheless

greenlighted Biogen's Aduhelm, or aducanumab.

https://www.nytimes.com/2021/07/19/health/alzheimers-drug-aduhelm-fda.html



Aduhelm<sup>®</sup> (aducanumab)

#### THE WALL STREET JOURNAL. Cleveland Clinic, Mount Sinai and Providence Won't Give Biogen's New Alzheimer's Drug

The three hospital operators are holding off on administering Aduhelm amid a debate over its effectiveness

Three large hospitals are declining to administer Biogen Inc.'s new Alzheimer's treatment, Aduhelm, the latest rupture to emerge from the Food and Drug Administration's controversial approval of the drug last month.

The Cleveland Clinic, Mount Sinai Health System in New York and Providence in Renton, Wash., said they wouldn't administer Aduhelm, which is also called aducanumab, to patients amid a debate about the drug's effectiveness and whether the FDA lowered its standards in approving the medicine.

https://www.wsj.com/articles/cleveland-clinic-mount-sinai-wont-give-biogens-new-alzheimers-drug-11626366968

By Joseph Walker Updated July 15, 2021 8:04 pm ET



Aduhelm<sup>®</sup> (aducanumab)

- FDA-approved while Phase 4 study proceeds (≤9 years)
- Monoclonal antibody to Aß oligomer
- Only for minimally affected patients
- Only for <u>Alzheimer</u> disease, specifically
- Only if amyloid biomarker positive (CSF, amyloid PET)
- Monthly IV infusions
- Titrate up over time to limit ARIA
- Brain MRIs monitor for ARIA at 0, 7, 12 months)
- \$56K/year.







# Alzheimer disease therapy—moving from amyloid-β to tau

Ezio Giacobini and Gabriel Gold

Abstract | Disease-modifying treatments for Alzheimer disease (AD) have focused mainly on reducing levels of amyloid- $\beta$  (A $\beta$ ) in the brain. Some compounds have achieved this goal, but none has produced clinically meaningful results. Several methodological issues relating to clinical trials of these agents might explain this failure; an additional consideration is that the amyloid cascade hypothesis—which places amyloid plaques at the heart of AD pathogenesis—does not fully integrate a large body of data relevant to the emergence of clinical AD. Importantly, amyloid deposition is not strongly correlated with cognition in multivariate analyses, unlike hyperphosphorylated tau, neurofibrillary tangles, and synaptic and neuronal loss, which are closely associated with memory deficits. Targeting tau pathology, therefore, might be more clinically effective than A $\beta$ -directed therapies. Furthermore, numerous immunization studies in animal models indicate that reduction of intracellular levels of tau and phosphorylated tau is possible, and is associated with improved cognitive performance. Several tau-related vaccines are in advanced preclinical stages and will soon enter clinical trials. In this article, we present a critical analysis of the failure of A $\beta$ -directed therapies, discuss limitations of the amyloid cascade hypothesis, and suggest the potential value of tau-targeted therapies, discuss limitations of the amyloid cascade hypothesis, and suggest the potential value of tau-targeted therapy for AD.

Giacobini, E. & Gold, G. Nat. Rev. Neurol. 9, 677-686 (2013); published online 12 November 2013; doi:10.1038/nmeurol.2013.223



#### Alzheimer's vaccine shows promise in Phase II trial

Study results show AADvac1, a first-in-man Alzheimer's disease tau vaccine, is safe and potentially of benefit for patients with mild disease.



https://www.europeanpharmaceuticalreview.com/news/156676/alzheimers-vaccine-shows-promise-in-phase-ii-trial/

#### New Vaccine Formulation Shows Promise for Alzheimer's Target

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AC Immune CEO Andrea Pfeifer pictured above. Photo courtesy of AC Immune.

Switzerland-based **AC Immune SA announced** positive interim results from its ongoing Phase Ib/IIa clinical trial of ACI-35.030 for Alzheimer's disease. The vaccine showed a potent antigen-specific antibody response against phosphorylated tau (pTau) in 100% of older patients with early Alzheimer's.

https://www.biospace.com/article/ac-immune-s-alzheimer-s-vaccine-shows-promise-in-early-stage-trial/



#### **Evaluate earlier, include biomarkers**





#### **Evaluate earlier, include biomarkers**





### Ethical, medicolegal challenges

#### FIGURE 20

Percentage of U.S. Adults Who Believe Medical Research Is Biased Against People of Color



#### FIGURE 21

Percentage of U.S. Adults Who Trust an Alzheimer's Cure Will Be Shared Equally Regardless of Race, Color or Ethnicity



Alzheimer's Associations 2021 Alzheimer disease facts and figures. https://www.alz.org/media/Documents/alzheimers-facts-and-figures.pdf



### Ethical, medicolegal challenges

Underdiagnosis of Dementia: an Observational Study of Patterns in Diagnosis and Awareness in US Older Adults

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"... The majority of older adults with dementia are either undiagnosed or unaware of the diagnosis ..."

"... [people with] dementia who attended medical visits alone were twice as likely to be undiagnosed by their physicians as those who took a companion ..."





#### Ethical, medicolegal challenges

#### SPECIAL ARTICLE

AMERICAN ACADEMY OF NEUROLOGY.

#### Practice Parameter update: Evaluation and management of driving risk in dementia

Report of the Quality Standards Subcommittee of the American Academy of Neurology

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#### ABSTRACT

**Objective:** To review the evidence regarding the usefulness of patient demographic characteristics, driving history, and cognitive testing in predicting driving capability among patients with dementia and to determine the efficacy of driving risk reduction strategies.

Methods: Systematic review of the literature using the American Academy of Neurology's evidence-based methods.

**Recommendations:** For patients with dementia, consider the following characteristics useful for identifying patients at increased risk for unsafe driving: the Clinical Dementia Rating scale (Level A), a caregiver's rating of a patient's driving ability as marginal or unsafe (Level B), a history of crashes or traffic citations (Level C), reduced driving mileage or self-reported situational avoidance (Level C), Mini-Mental State Examination scores of 24 or less (Level C), and aggressive or impulsive personality characteristics (Level C). Consider the following characteristics not useful for identifying patients at increased risk for unsafe driving: a patient's self-rating of safe driving ability (Level A) and lack of situational avoidance (Level C). There is insufficient evidence to support or refute the benefit of neuropsychological testing, after controlling for the presence and severity of dementia, or interventional strategies for drivers with dementia (Level U). *Neurology*<sup>®</sup> 2010;74:1-1









# Some basic ground rules

Dementia – some basic ground rules



## **Clinical states**

- Subjective cognitive decline
- Mild cognitive impairment
- Dementia

## **Diagnoses (etiologies)**



# **Alzheimer disease basics**

Dementia – Alzheimer basics



## **Defining neuropathology**

- Dead / missing brain cells
- Amyloid plaque
- Neurofibrillary tangles

## How Alzheimer disease behaves





# The new world

Dementia – new world



# Evolving understanding of pathologies Disease modifying therapy has begun Evaluate <u>earlier</u>

## **Include** biomarkers

## Ethical, medicolegal challenges





# Controversy and Update on Dementia and Dementia-Causing Disease

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